

PATENT Attorney Docket No. 05725,0633

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
David W. CANNELL et al.	) ) Group Art Unit: Unassigned
Serial No.: 09/648,376	) Examiner: Unassigned
Filed: August 25, 2000	) )
For: PROTECTION OF KERATINOUS FIBERS USING CERAMIDES AND/OR GLYCOCERAMIDES	) ) )

Assistant Commissioner for Patents Washington, DC 20231

Sir:

### **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application and before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

1. FR 1,400,366: This document relates to an amide-amine derivative obtained by the condensation of at least one polymer of formula (I) wherein the amines contain a primary or secondary amine function and at

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202-408-4000

least one tertiary amine function. The composition may be used to treat the hair in aqueous or hydro-alcoholic solution.

- 2. **FR 1,492,597:** This document relates to cellulosic ethers containing a quaternary amine which may be used in cosmetic compositions.
- 3. FR 1,583,363: This document is believed to be related to U.S. Patent No. 3,632,559, a copy of which is submitted herewith.
- 4. FR 2,077,143: This document is believed to be related to GB 1,331,819, a copy of which is submitted herewith.
- FR 2,080,759: This document is believed to be related to GB
   1,347,051, a copy of which is submitted herewith.
- 6. FR 2,162,025: This document is believed to be related to U.S. Patent No. 3,917,817, a copy of which is submitted herewith.
- 7. FR 2,252,840: This document is believed to be related to U.S. Patent No. 4,172,887, a copy of which is submitted herewith.
- 8. FR 2,270,846: This document is believed to be related to U.S. Patent No. 4,217,914, a copy of which is submitted herewith.
- 9. FR 2,280,361: This document is believed to be related to U.S. Patent No. 4,013,787, a copy of which is submitted herewith.
- 10. **FR 2,316,271**: This document is believed to be related to U.S. Patent No. 4,197,865, a copy of which is submitted herewith.
- 11. FR 2,320,330: This document is believed to be related to GB 1,479,786, a copy of which is submitted herewith.

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- 12. **FR 2,336,434**: This document is believed to be related to GB 1,546,809, a copy of which is submitted herewith.
- 13. FR 2,368,508: This document is believed to be related to U.S. Patent No. 4,189,468, a copy of which is submitted herewith.
- 14. **FR 2,383,660**: This document is believed to be related to U.S. Patent No. 4,240,450, a copy of which is submitted herewith.
- 15. **FR 2,393,573**: This document is believed to be related to U.S. Patent No. 4,165,367, a copy of which is submitted herewith.
- 16. **FR 2,413,907**: This document is believed to be related to U.S. Patent No. 4,349,532, a copy of which is submitted herewith.
- 17. **FR 2,470,596:** This document is believed to be related to U.S. Patent No. 4,996,059, a copy of which is submitted herewith.
- 18. **FR 2,505,348**: This document is believed to be related to U.S. Patent No. 4,761,273, a copy of which is submitted herewith.
- 19. **FR 2,519,863**: This document is believed to be related to U.S. Patent No. 5,089,252, a copy of which is submitted herewith.
- 20. **FR 2,542,997**: This document is believed to be related to U.S. Patent No. 4,591,610, a copy of which is submitted herewith.
- 21. **FR 2,598,611**: This document is believed to be related to U.S. Patent No. 4,839,166, a copy of which is submitted herewith.
- 22. **FR 2,673,179**: This document is believed to be related to U.S. Patent No. 5,618,523, a copy of which is submitted herewith.

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Serial No. 09/648,376 Attorney Docket No. 05725.0633

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: September 20, 2000

By: Malia V. Warnement
Reg. No. 39,064

(49987)

Atty. Docket No.	05725.0633 Serial No.: 09/648,376												
Applicant	David W. CANNELL	et al.											
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													
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OMB No. 0651-0011

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